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То:	FACSIMILE:	TELEPHONE:
U.S. Patent and Trademark Office Attn: Examiner T. Wessendorf Art Unit: 1639	(571) 273-8300	(571) 272-0812

Kate H. Murashige - Reg No. 29,959

**DATE:** February 27, 2007

Number of pages with cover page: 14

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#### Comments:

ATTORNEY DOCKET:

388512010101

GROUP ART UNIT:

1639

**EXAMINER:** 

T. Wessendorf

**SERIAL NO.:** 

10/678,414

FILING DATE:

October 2, 2003 Lawrence M. KAUVAR, et al.

INVENTOR(S): TITLE:

MULTIHUE LABELS

### Papers attached:

Please find a copy of the response that was filed on October 13, 2006, along with a copy of the stamped receipt postcard showing it was received on October 16, 2006. Examiner Wessendorf does not have a copy of this response and we are merely supplying the attached copy for your file. Thank you.

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SD-360961

Ø 001/014

### RECEIVED CENTRAL FAX CENTER

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Lawrence M. KAUVAR et al. inventor:

10/678,414 Application No.: Title: MULTIHUE LABELS

Atty Docket No.: 388512010101

Filing Date: October 2, 2003

Documents Filed:

Transmittal (1 page)
Fee Transmittal (1 page + duplicate)
Amendment Under 37 C.F.R. § 1,111 (7 pages) Terminal Disclaimers (2 pages)

Via: First Class Mail

KHM1/xv2 Sender's Initials:

Date: October 13, 2006

Lawrence M. KAUVAR et al. Inventor:

Application No.: 10/678,414 Title: MULTIHUE LABELS

Filing Date: October 2, 2003

Atty Docket No.: 388512010101

**Documents Filed:** 

Transmittal (1 page) Fee Transmittal (1 page + duplicate)
Amendment Under 37 C.F.R. § 1,111 (7 pages)
Terminal Disclaimers (2 pages)



Via: First Class Mail

KHM1/xv2 Sender's Initials:



Date: October 13, 2006

PTO/58/21 (09-04)

Approved for use through 07/31/2008. OM8 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/878,414 Filing Date TRANSMITTAL October 2, 2003 First Named Inventor **FORM** Lawrence M. KAUVAR Art Unit 1639 (to be used for all correspondence after initial filing) Examiner Name T. Wessendorf Attorney Docket Number 388512010101 Total Number of Pages In This Submission 12 ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form (1 page + Drawing(s) X duplicate for fee processing) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to TC Amendment Under 37 CFR § 1.111 х Petition (Appeal Notice, Brief, Reply Brief) (7 pages) Petition to Convert to a After Final Proprietary Information Provisional Application wer of Attorney, Revocation Affidavits/dectaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Identify below): Terminal Disclaimers (2 pages) Extension of Time Request Return Receipt Postcard Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Landscape Table on CD Document(s) Reply to Missing Parts/ Remarks Incomplete Application Customer No. 25225 Reply to Missing Parts under 37 CFR 1.52 or 1.53

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ſ	the date shown below with sufficient pos	any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on ago as First Class Meil, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O.
ı	Box 1450, Alexandria, VA 22313-1450.	
l	Dated: October 13, 2006	Signature: Kabenta Vinago. (Roberta Vincon)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Reg. No.

29,959

**MORRISON & FOERSTER LLP** 

Kenter let Wu

Kate H. Murashige

October 13, 2006

Firm Name

Signature

Date

Printed name

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Ø 004/014

# FEB 2 7 2007

PTO/SB/17 (01-06)

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			Filing Date	12.07	October 2, 2003		
			First Named Inv		Lawrence M. KAUVAR		
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	small entity status.	<del> </del>	Art Unit		88512010101		
TOTAL AMOUNT OF	PAYMENT	(\$) 130.00	Attorney Docket	NO.			
METHOD OF PAY	IENT (check all t	hat apply)			· · · · · · · · · · · · · · · · · · ·		
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		er. 03-1952 Deposit A			rison & Foerst	er LLP	
For the above-	identified deposit :	account, the Director	is hereby authorize	ed to: (chec	k all that apply)		
	ee(s) indicated be		Charg	e fee(s) indi	icated below, ex	ccept for the filing fee	
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FEE CALCULATIO	N (All the fees	below are due up	on filing or may	be subjec	ct to a surcha	rge.)	
1. BASIC FILING, SEA	RCH, AND EXAM	AINATION FEES					
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Design	200	100 100	50	130	65	0.00	
,	200	100 300		160	80	0.00	
Plant	300	150 500		600	300	0.00	
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HP = highest number of to	tat claims paid for, if g	reater than 20.				0.00	
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3. APPLICATION SIZE FEE  If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer							
lightings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50							
sheets or fraction	thereof. See 35 L	J.S.C. 41(a)(1)(G) an	J 37 CFR 1.16(s).				
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4. OTHER FEE(S)  Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filing surcharge): 2814 Statutory Disclaimer (2 @ 65 ea.) 130.00							
SUBMITTED BY							
Signature	By K. YV	Lynady	Registration No. (Attorney/Agent)	29,959	Telephone	(858) 720-5112	
	LI Mumahian	4			Date	October 3, 2006	

PTO/SB/17 (01-06)

Approved for use through 7/31/2006, OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL For FY 2006    X   Application Number   Cotober 2, 2003     X   Application sensit entity status. See 37 CFR 1.27     Art Unit   1639     Art Unit   1	Under the Paperwork Reduction Act of 1883, No person and reduction				Complete if Known					
First Named Inventor   Lawrence M. KAUVAR	Feet pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).			Application Num	ber					
First Named Inventor Lawrence M. KAUVAR    Examiner Name   T. Wessendorf				Filing Date		October 2, 2003				
Examiner Name					entor	Lawrence M. KAUVAR				
Application Type   Fee (5)   Fee (						Examiner Name		T. Wessendorf		
METHOD OF PAYMENT (check all that apply)  Check Credit Card Money Order None Other (please identify):  X Deposit Account Deposa Account, the Director is hereby authorized to: (check all that apply)  For the above-dentified deposit account, the Director is hereby authorized to: (check all that apply)  X Charge fee(s) Indicated below  X Charge any additional fee(s) or underpayment of Charge fee(s) Indicated below, except for the filing fee (s) under 37 CFR 1.16 and 1.17  FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)  1. BASIC FILING, SEARCH, AND EXAMINATION FEES  FILING FEES  Small Entity  Fee (\$) Fee (\$	X Applicant	claims small entit	y status. S	See 37 CFR 1.27		Art Unit		1639		
Check Credit Card Money Order None Other (please identify):    X   Deposit Account   Depoil Account   Money Order   None   Other (please identify):   X   Deposit Account   Depoil Account   Money Order   None   Morrison & Foerster LLP	TOTAL AMOU	NT OF PAYMEN	π. (	(\$) 130.00		Attorney Docket	√ò.	388512010101		
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For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)    Charge fee(s) Indicated below	Check	Credit Card	М	loney Order	Nor	ne Other (1	)lease iden	tify):		
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Other (c.g., late filing surcharge): 2814 Statutory Disclaimer (2 @ 65 ea.) 130.00										
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SUBMITTED BY  Standing 29,959 Telephone (858) 720-5112	Signature BY	7 1 L	1 n 1				29,959	Telephone	(858) 720	-5112
Capitalian (American Capitalian C		Kete H Mura		- CLARKE	<del>\</del>	Valuation (Cont.)		Date	October 3	2006
	Name (Print/Type)	Kate H. Mura	ıshige		_			URF	ACCOR! 2	, 2000

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sd-340198

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandra, VA 22313-1450, on the date shown below.

Dated: October 13, 2006

Signature: Kabanta Vunsan

said Docket No.: 388512010101

(PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Lawrence M. KAUVAR, et al.

Application No.: 10/678,414

Filed: October 2, 2003

For: MULTIHUE LABELS

Confirmation No.: 9986

Art Unit: 1639

Examiner: Teresa D. Wessendorf

### AMENDMENT UNDER 37 C.F.R. § 1.111

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

COPY

This is in response to an Office Action herein, mailed 25 August 2006, time for response to which was set to expire 25 November 2006. Claims 43-47 and 50-51 were examined and rejected. Claims 48-49 were withdrawn from consideration, but would be rejoined if a generic claim were allowed. Careful consideration has been given to the grounds for rejection, and the following amendment and discussion are offered in response. Reconsideration is respectfully requested.

Ø1007/014

FEB 2 7 2007

Application No.: 10/678,414

# Docket No.: 388512010101

### **CLAIM AMENDMENTS**

### 1-42. (canceled)

- 43. (currently amended): A particulate label which comprises
- (a) \_a particulate support having a diameter of no more than 100 nm to which particle is bound to at least three fluorophores, wherein each said fluorophore emits a detectable signal different distinguishable from that emitted by the others and wherein the magnitude ratio of intensities of each of said emitted signals provides a distinctive hue and wherein the magnitude of the signal emitted by each said fluorophore may be varied; and
- (b) wherein said particulate support is coupled to a reagent coupled to said particulate support.
- 44. (previously presented): The label of claim 43, wherein the particulate support is a latex bead.
- 45. (previously presented): The label of claim 43, wherein the reagent is an antibody or fragment thereof, a peptide generated from a cDNA library, a substance in a combinatorial chemistry library, or an oligonucleotide.
- 46. (previously presented): The label of claim 43, wherein the reagent is coupled to the particulate support by a covalent linkage.
- 47. (previously presented): The label of claim 46, wherein the covalent linkage is a disulfide or carboxamide linkage.
- 48. (withdrawn): The label of claim 43, wherein the reagent is linked to the particulate support by a non-covalent linkage.

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49. (withdrawn): The label of claim 48, wherein the non-covalent linkage is an epitope/antibody linkage, or

a histidine/chelated NI linkage, or comprises an agar layer in which reagent is trapped.

- 50. (currently amended): A spatially defined arrangement of a multiplicity of particulate different labels of claim 43, wherein each label is characterized by a different hue distinguishable from the hue of different labels, and each different particulate support is bound to a different reagent.
- 51. (previously presented): The arrangement of claim 50, which is displayed on a surface to permit determination of the spatial position of said labels.

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### **REMARKS**

Claim 43 has been amended in response to the rejection under 35 U.S.C. § 112, paragraph two. Support for this amendment is found, for example, on page 10 of the specification, which discusses the signals in terms of their intensities. The requirement that the signals be distinguishable is clear in that the various colors emitted can be distinguished from each other, as described in the paragraph on page 10, beginning at line 11 and Figure 2 referred to therein. Support for the ratio of intensities is also found in this paragraph. See, e.g., line 17 on page 10. The remainder of the amendment is editorial. Claim 50 has been similarly clarified. Accordingly, no new matter has been added. Entry of the amendment is respectfully requested.

Applicants have found that it is possible to couple three or more fluorophores to a very small particle having a diameter of no more than 100 nm. To applicants' knowledge, this is the first disclosure of such small and efficient particulate labels.

### Double-Patenting

Applicants believe the double-patenting rejection is improperly based on consideration of the specifications of the cited documents rather than the claims *per se* as is the appropriate referent for such assessment. However, in order to expedite prosecution, terminal disclaimers with respect to U.S. 6,492,125 and U.S. 6,642,062 are enclosed.

### The Rejections Under 35 U.S.C. § 112, Paragraph Two

All claims were rejected under this statutory section. Claim 43 has been extensively amended in response. By separating the particulate support bearing the fluorophores from the reagent that is coupled thereto into separate paragraphs, it is believed that the claim is clarified. The

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particulate label contains both the particulate support and the reagent. The term "magnitude" has been replaced by "intensity" which is believed clear, and the term "different" is replaced by the functionally meaningful "distinguishable." It is believed, therefore, that the objections of the Office have been met.

Claim 50 is asserted to be a duplicate of claim 43. This basis for rejection is not understood. Claim 43 requires only a single particulate label; claim 50 requires an arrangement of a multiplicity of different particulate labels and they must be spatially arranged so that they can be separately identified.

Similarly, claim 51 further limits claim 50 because the spatial arrangement must be on a surface – not within a three-dimensional volume.

Accordingly, this basis for rejection may properly be withdrawn.

### The Rejection Under 35 U.S.C. § 102

All claims were rejected as assertedly anticipated by Chee, et al., U.S. patent 7,033,754.

Applicants believe the rejection is in error for the following reason.

The present application is a continuation of U.S. Serial No. 09/146,984 filed

3 September 1998 – i.e., U.S. patent 6,642,062 cited by the Office. Therefore, the only § 102(e)

date that can be asserted for Chee, et al., is that of provisional application number 60/090,473 filed

24 June 1998. All of the remaining documents from which Chee, et al., claim priority are later than
the filing date of the parent herein. It is unclear from the present record whether the provisional
application that might properly be cited anticipates the present invention. However, according to
the copy of the application available on PAIR, it appears that microspheres as small as 100 nm are
not disclosed. Page 10, beginning at line 30, describes bead sizes from 500 nm to millimeter size

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and a preferred lower dimension of 200 nm. What appears to be the corresponding portion in the issued patent, at column 10, specifies 100 nm/ml, unlike the provisional which specifies 500 nm/ml.

Applicants would appreciate an analysis of provisional 60/090,473 which might properly be cited against the present application as opposed to the issued parent which, unless supported by the provisional, is not. Since the provisional application can be read and printed only one page at a time on PAIR, a copy of this application would be helpful in evaluating its disclosure.

In that regard, it would be helpful to have portions of the text more precisely identified than what appears to be approximately 20 pages of specification (column 9-column 32).

In view of the apparent failure of the provisional application to anticipate, this basis for rejection may properly be withdrawn.

### The Rejection Under 35 U.S.C. § 103

Respectfully, as this rejection requires the combination of Chee, which is not properly cited, with additional documents, this rejection may be withdrawn for the reasons set forth above.

### Conclusion

Terminal disclaimers have been submitted to overcome the double-patenting rejection. The claims have been amended to overcome the rejection under 35 U.S.C. § 112, paragraph two. It does not appear that the earliest priority document of the primary reference, Chee, discloses the particulate labels of the invention. Thus, it is believed all grounds for rejection are overcome and passage of pending claims 43-47 and 50-51 to issue, along with claims 48-49 which may be rejoined, is respectfully requested.

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In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to <u>Deposit</u>

<u>Account No. 03-1952</u> referencing <u>docket No. 388512010101</u>.

Respectfully submitted,

Dated:

October 13, 2006

 $\mathbf{B}\mathbf{v}$ 

Kate H. Murashige

Registration No. 29,959 MORRISON & FOERSTER LLP

12531 High Bluff Drive

Suite 100

San Diego, California 92130-2040

Telephone: (858) 720-5112 Facsimile: (858) 720-5125

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TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING	Docket Number (Optional)							
REJECTION OVER A "PRIOR" PATENT	388512010101							
In re Application of: Lawrence M. KAUVAR et al.								
Application No.: 10/678,414	•							
Filed: October 2, 2003	7							
For. MULTIHUE LABELS	· .							
The owner*, Trellis Bioscience, Inc of instant application hereby disclaims, except as provided below, the terminal part of the statutory instant application which would extend beyond the expiration date of the full statutory term of prior as the term of said prior patent is defined in 35 U.S.C. 154 and 173, and as the term of said prior by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant only for and during such period that it and the prior patent are commonly owned. This agrees on the instant application and is binding upon the grantee, its successors or assigns.	for patent No. 6,492,125 for patent is presently shortened at application shall be enforceable nent runs with any patent granted							
In making the above disclaimer, the owner does not disclaim the terminal part of the term of	In making the above discipliner, the owner does not discialm the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 36 U.S.C. 154 and 173 of the prior patent, "as the term of said prior patent is presently shortened by any terminal discialmer," in the event that said prior patent later:  expires for failure to pay a maintenance fee; is held unenforceable; is found invalid by a court of competent jurisdiction; is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321; has all cialms canceled by a reexamination certificate; is released or							
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For submissions on behalf of a business/organization (e.g., corporation, partnership, etc.), the undersigned is empowered to act on behalf of the business/organization.	university, government agency,							
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the tike so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.								
20.050								
2. X The undersigned is an attorney or agent of record. Reg. No. 29,959	<del></del>							
Cate Wesselvi Signature	October 13, 2006							
Signature	Date							
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Kate H. Murashige  Typed or printed name								
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<del>بينندن</del>	(858) 720-5112 Telephone Number							
X Terminal disclaimer fee under 37 CFR 1.20(d) is included.								
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U.S. Peteril and Tradement Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unlass it displays a valid OMB control number Docket Number (Optional) TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A "PRIOR" PATENT 388512010101 Lawrence M. KAUVAR et al. In re Application of: 10/678,414 Application No.: October 2, 2003 Filed: MULTIHUE LABELS For: percent interest in the Trellis Bioscience, Inc. instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of prior patent No. as the term of said prior patent is defined in 35 U.S.C. 154 and 173, and as the term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns. In making the above discialmer, the owner does not discialm the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of the prior patient, "as the term of said prior patent is presently shortened by any terminal discialmer," in the event that said prior patent expires for fallure lo pay a maintanance fee: is held unenforceable: is found invalid by a court of competent jurisdiction; is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321; has all claims canceled by a reexamination certificate; is reissued; or is in any manner terminated prior to the explication of its full statutory term as presently shortened by any terminal disclaimer. Check either box 1 or 2 below, if appropriate. For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. The undersigned is an attorney or agent of record. Reg. No. October 13, 2006 Date Kate H. Murashige Typed or printed name (858) 720-5112 Telephone Number Terminal disclaimer fee under 37 CFR 1.20(d) is included. \*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.